

Status	Totals
Open	0
Closed	0
Closed Pending OMIG Response	0
Total	0

Notifying Party	Totals	% of total reports
Anonymous:		
Call	0	0.0%
Anonymous:		
Letter/Email	0	0.0%
Chapter:		
Executive		
Management		
(CEO, CFO, COO,		
Director of HR,		
Compliance		
Officer, General		
Counsel, etc.)	0	0.0%
Chapter: Non-		
Executive		
Management	0	0.0%
Community		
Member	0	0.0%
Justice Center	0	0.0%
OMIG	0	0.0%
OPWDD	0	0.0%
Other	0	0.0%
Service		
Recipient	0	0.0%

Chapter	Totals	% of total reports
Allegany	0	0.0%
Broome-Tioga	0	0.0%
Broome-Chenango-Tioga	0	0.0%
Cattaraugus-Niagara	0	0.0%
Cattaraugus	0	0.0%
Chautauqua	0	0.0%
Chemung	0	0.0%
Chenango	0	0.0%
Clinton	0	0.0%
Columbia	0	0.0%
Community League	0	0.0%
Delaware	0	0.0%
Dutchess	0	0.0%
Erie	0	0.0%
Essex	0	0.0%
Franklin-Hamilton	0	0.0%
Fulton	0	0.0%
Genesee-Orleans	0	0.0%
Herkimer	0	0.0%
Jefferson	0	0.0%
Livingston-Wyoming	0	0.0%
Madison-Cortland	0	0.0%
Monroe	0	0.0%
Montgomery	0	0.0%
Nassau	0	0.0%
New York City	0	0.0%
Niagara	0	0.0%
Oneida-Lewis	0	0.0%
Onondaga	0	0.0%
Ontario	0	0.0%
Orange	0	0.0%
Oswego	0	0.0%
Otsego	0	0.0%
Putnam	0	0.0%
Rensselaer	0	0.0%
Rockland	0	0.0%
Saratoga	0	0.0%
Schenectady	0	0.0%
Schoharie	0	0.0%
Schuyler	0	0.0%
Seneca-Cayuga	0	0.0%
St. Lawrence	0	0.0%
Steuben	0	0.0%
Suffolk	0	0.0%
Sullivan	0	0.0%
Ulster-Greene	0	0.0%

Warren-Washington-Albany	0	0.0%
Wayne	0	0.0%
Westchester	0	0.0%
Yates	0	0.0%

Total 0

Program	Totals	% of total reports
ACCESS-VR	0	0.0%
Administration	0	0.0%
Clinic	0	0.0%
Community Hab	0	0.0%
Community Prevoc	0	0.0%
Corporate Compliance	0	0.0%
Day Hab- Site Based	0	0.0%
Day Hab- Without Walls	0	0.0%
Day Treatment	0	0.0%
Education (SED, OCFS)	0	0.0%
Emod	0	0.0%
Family Education & Training	0	0.0%
HCE & FSS	0	0.0%
ICF	0	0.0%
ICF & IRA	0	0.0%
Incident Management	0	0.0%
IRA	0	0.0%
MSC: External	0	0.0%
MSC: Internal	0	0.0%
Multiple	0	0.0%
Other	0	0.0%
Pathway to Employment	0	0.0%
Quality Assurance	0	0.0%
Residential: Community Residence	0	0.0%
Residential: Family Care	0	0.0%
Residential: ICF	0	0.0%
Residential: Supervised IRA	0	0.0%
Residential: Supportive IRA	0	0.0%
Site-Based Prevoc	0	0.0%
Transportation	0	0.0%
Supported Employment	0	0.0%

CM Report Type	Totals	% of total reports
Self Disclosure	0	0.0%
45/60 Day Letter	0	0.0%
External Audit	0	0.0%
External Investigation	0	0.0%
Other	0	0.0%
Significant Compliance Event	0	0.0%
Significant Quality Event	0	0.0%
	0	

General Category

Audit: External audit of service(s)
Audit: HCE & FSS
Audit: Limited Fiscal Review
Audit: PERM Audit
Audit: Waiver & EO 38 (COSO)
Billing: Documentation Error(s)
Billing: Incorrect Rate(s) Used
Billing: Monthly Summaries Issue(s)
Billing: Processing Error(s)
Conflict of Interest Violation
Emergency: Physical Plant, Environmental, Public Relations
Exclusion: Checks/Excluded Party Issue
False Claims: Allegation of violation of whistleblower laws and retaliation against current/terminated employee
Financial: CFR Audit
Financial: FLSA 14C Subminimum Wage Certificate/Payroll Issues
Financial: Social Security Benefits Non-Compliance
HIPAA/HITECH: Audit
HIPAA/HITECH: Breach
Incident: IGB on-site visit following investigation
Incident: Incident Management Policy and Procedures Not Followed (i.e. failure to investigate, delays in completion)
Incident: Non-Compliance with Criminal Background Checks
Justice Center: (investigator practices, communication)
Justice Center: Death Related Investigation
Legal: Allegation of wrongful termination
Legal: Chapter lawsuit
Obstruction: Allegation against Executive Management employees(s) (CEO, CFO, COO, Director of HR, Compliance Officer)
Obstruction: Allegation against Non- Executive Management employees(s)
Personnel: Arrest of Non Executive Management employee(s)
Personnel: Allegation against Corporate Compliance Officer
Personnel: Allegation of misconduct by contracted agent(s) (bussing, consultants, etc.)
Personnel: Allegation of misconduct/mismanagement by Executive Management (CEO, CFO, COO, Director of HR, Compliance Officer)
Personnel: Allegation of misconduct/mismanagement by non Executive Management employee(s)
Personnel: Allegation of theft involving Chapter property
Personnel: Arrest of Executive Management employees(s) (CEO, CFO, COO, Director of HR, Compliance Officer, General Counsel)
Personnel: Payroll Issues
Personnel: Qualification Issues (Licenses, Education, Training)
Quality: Complaint about quality of care and treatment
Quality: Early Alert Status
Quality: Vacancy/Staffing Concerns
School: Preschool or Day Care Issues (SEQA, Licensure, etc.)
Self-Disclosure
Site Survey: 45/60 Day Letter
Site Survey: Significant SOD Issued
Theft: Allegation of theft involving individuals funds
Transportation: 19-A Records Review
Transportation: DOT Review

Oversight Agency	Totals	% of total reports
Access VR	0	0.0%
Attorney General	0	0.0%
CMS	0	0.0%
Disability Rights	0	0.0%
DMV	0	0.0%
DOH	0	0.0%
DOL	0	0.0%
DOT	0	0.0%
HHS OCR	0	0.0%
HHS OIG	0	0.0%
IRS	0	0.0%
Justice Center	0	0.0%
Law enforcement	0	0.0%
MFCU	0	0.0%
MHLS	0	0.0%
N/A	0	0.0%
NYSID	0	0.0%
OCFS	0	0.0%
OFPC	0	0.0%
OMIG	0	0.0%
OPWDD	0	0.0%
Social Security Administration	0	0.0%
State Ed	0	0.0%
State Office	0	0.0%
US Ability One Commission	0	0.0%
US District Court	0	0.0%
OSC (Office of the State Comptroller)	0	0.0%
NYC Department of Health and Mental Hygiene	0	0.0%