

\_\_\_\_\_ Department  
Corporate Compliance Report  
Month reporting on \_\_\_\_\_

**External Audits**

- Include results from any fiscal related external audits
- Report on progress toward implementation of POCAs developed in response to external audits

**Internal Audits**

- include results of file reviews and/or audits conducted by division staff and QA staff.
- Progress toward implementation of POCAs developed in response to audits conducted by QA staff

**Policies & Procedures**

- Report on any policies/procedures revised or developed
- Indicate timely review of policies, per schedule

Policy #	Policy Name	Month Scheduled for review	Review completed (yes or no)	Revisions required (yes or no)

**Billing Corrections**

- complete chart indicating date of claim, date identified, date corrected, etc
- documentation error chart

**Internal Investigations**

- Report on progress toward implementation of any recommendations from internal corporate compliance investigations

**Regulatory changes**

- Directors review of recent regulatory changes impacting area; summary of actions taken and status of implementation.

**Exclusion checks**

- For those areas who report (HR, Finance, QA); include number of checks run in the month, number of hits and number cleared. Report status of any hits that were not able to be cleared

**Quarterly HIPAA physical walkthrough**

-Report on findings of physical walkthrough (sites/areas checked); results and actions taken.

**Risk Assessment Results/Actions**

-Report on formal and/or informal assessment of risks within the program or divisional area. This can include regulatory changes, procedure changes, turnover, etc. that puts the program or divisional area at risk of non-compliance.

**CQI Indicator**

As appropriate

Attachments may include as applicable to the division--file audit results; documentation error report, spend down reports, license report, etc.

**Other:**