

The Arc_Oneida-Lewis Chapter
Advocacy and Services for People with Disabilities

Compliance Office Report to the Governing Board
May 2020

- OPWDD: Updates pertaining to BPC/DQI Activity, regulatory updates etc.
- Justice Center updates This section may include updates on JC investigatory matters, regulatory matters, appeals etc.
- The Arc New York Anything compliance related with The Arc NY
- CCO Updates pertaining to the CCo's

Compliance Incidents: Brief descriptions/updates of compliance incidents. May also include resolutions

Investigative Trends for Reportable, Significant, Serious Notable, and Minor Notable Incidents:

Please note that at this time all COVID-19 reports in which a person we support or an employee is placed in a physician or DOH quarantine or test positive to COVID-19 must be reported to either the Justice Center or OPWDD depending on the Program involved. These incidents are classified as 625 incidents at this time.

- Upon reporting the diagnosis or quarantine all staff and people we support who are exposed are documented in the record.
- OPWDD follows up with the completion of the investigation.
- The documentation in IRMA has gotten slightly less cumbersome. If there is a positive COVID diagnosis at one of the IRA's and subsequent diagnosis pertaining to that IRA is added to that record. There has been a reduction in the amount of information that is requested pertaining to PPE, however there is still a requirement to continue follow up documentation and information pertaining to staff exposed.

Investigations open as of 5/6/2020 total

- Allegations of Abuse:
- Reportable Significant:
- Serious Notable Sensitive:
- Minor Notable Injury:
- Minor Notable Financial/Exploitation:
- Serious Notable Death: 1
- 625:

Number of investigations added between 4/9/20 and 5/6//20 (including both 624 and 625 incidents)

- Allegations of Abuse:
- Reportable Significant:

- Serious Notable Sensitive:
- Serious Notable Death:
- Minor Notable Injury:
- Minor Notable Financial Theft/Exploitation:
- 625:

Incidents closed since last report:

- Allegations of Abuse:
- Reportable Significant:
- Serious Notable Sensitive: 0
- Serious Notable Death:
- Minor Notable Injury: 0
- Minor Notable Financial Theft/Exploitation 0
- 625:

Policy Development any policies that are being revised/developed are included in this section. I try to include when they are going to be submitted for approval

Aim 20-1143: Agency Code of Conduct Manual: revisions to update titles, remove NYSARC, add Life Plans in sections previously discussing ISPS, section 11 part a kickbacks and section 13. Social Networking, Video and Images.

Aim 20-1198: Compliance Office Policy on Self-Disclosure: revisions are currently in process to remove NYSARC, updated titles, and ensure Citations/References are accurate. Additional revisions may be completed upon comparison of updated references utilized in original policy development. Attachment One: Agency Claim Adjustment form has been revised.

Compliance Audits Auditing activity of my team

Admission Reviews: My team reviews all new admissions this is where I provide updates including any observation of new trends

Additional Compliance Department Updates Anything else that doesn't fit in the above categories

Agency Protocol Prep

Respectfully submitted,

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Corporate Compliance/HIPAA Privacy Officer